

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Atam P. Dhawan

Application No.: 10/822,219

Filing Date: April 9, 2004

For: Methods And Apparatus For Multi-Level
Dynamic Security System

Group Art Unit: 2136

Examiner: Ronald Baum

Attorney Docket No. 436/8

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant respectfully submits this Information Disclosure Statement, identification of the information, and copies thereof if required pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98 in order to comply with the duty of disclosure. The enclosed Form PTO SB/08A/B identifies the references of which Applicant is aware. The Examiner is respectfully requested to consider the information herein and make it of record in the subject application.

☐ By checking this paragraph, this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(b) within three months of the filing date of the application or before the application has received a substantive Office Action. Accordingly, no fee is necessary; however, should the Patent Office determine that a fee is due, the Commissioner is authorized to charge any such fee to Deposit Account No. 11-0223.

☒ By checking this paragraph, this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(c) before the mailing date of a Final Action, a Notice of Allowance, or close of prosecution. Accordingly included herewith is:

I hereby certify that this correspondence is being EFS filed to: MS: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below

Dated: August 29, 2006

Signature s/Paula M. Holsey/

Print Name: Paula M. Holsey

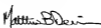
- ☐ a completed Credit Card form to cover the fee under 37 C.F.R. § 1.17(p), the Commissioner is authorized to charge any further fee to Deposit Account No. 11-0223; or
- ☒ a statement below under 37 C.F.R. § 1.97(c).
- ☐ By checking this paragraph, this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(d) after the mailing date of a Final Action, a Notice of Allowance, or close of prosecution, but before payment of the issue fee. Accordingly included herewith are:
- ☐ a check to cover the fee under 37 C.F.R. § 1.17(p), the Commissioner is authorized to charge any further fee to Deposit Account No. 11-0223; and
- ☐ a statement below under 37 C.F.R. § 1.97(c).

Statement Pursuant to 37 C.F.R. § 1.97(c):

- ☒ By checking this paragraph, the undersigned states that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior the filing of this Information Disclosure Statement; or
- ☐ By checking this paragraph, the undersigned states that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent in a counterpart foreign application, and, the undersigned, after making reasonable inquiry, believes that no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Dated: August 29, 2006

Respectfully submitted,

By: 
Matthew B. Dernier
Registration No. 40,989
KAPLAN GILMAN GIBSON & DERNIER LLP
900 Route 9 North
Woodbridge, New Jersey 07095
Telephone (732) 634-7634
Attorneys for Applicant

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449-PTD

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/822,219
Filing Date	04/09/2004
First Named Inventor	Atam P. Dhawan
Art Unit	2136
Examiner Name	Ronald Baum
Attorney Docket Number	436/B

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T*
		Country Code* Number *Kind Code* (if known)	MM-DD-YYYY			
		ISR and WO of PCTUS04/10869	08-01-2006	New Jersey Inst. of Tech.		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WPO Standard ST 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 422 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.